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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE MAR 2 7 2002

**Applicant:** 

Han Htun and Gordon L. Hager

Examiner:

Not Yet Known 1000/2000

Serial No.:

10/001,486

**Group Art Unit:** 

1655

Filed:

November 15, 2001

Docket No.:

30426.1USD1

Title:

METHOD AND COMPOSITIONS FOR MONITORING DNA BINDING

MOLECULES IN LIVING CELLS

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 20, 2001.

By: Tracy Truick

## INFORMATION DISCLOSURE STATEMENT(C.F.R. § 1.97(b)(3))

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. They are as follows:

- WO 95,07463, March 16, 1995 (Exhibit 1)
- WO/95,21191, August 10, 1995 (Exhibit 2)
- Ogawa, et al., 1995 Proc. Natl. Acad. Sci. "Localization, trafficking, and temperature-dependence of the Aequorea green fluorescent protein in cultured vertebrate cells,"
   92:11899-11903 (Exhibit 3)

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- Macara, et al., 1995 Mole. Bio. Of the Cell "Real-time detection of ligand-induced nuclear transport using a Glucocorticoid Receptor-Green Fluorescent Protein Fusion Construct,"
   313A (Exhibit 4)
- Htun, et al., 1995 Molecular Bio. Of the Cell "GFP-GR: A Model System For Studying Cytoplasm-To-Nuclear Translocation and Nuclear Architecture in Cultured Living Cells,"
   232A. (Exhibit 5)
- Helm, et al., 1995 Nature "Anticipated stimuli across skin," 373:663-664. (Exhibit 6)
- Prasher, et al., 1995 TIG "Using GFP to see the light," 11:320-323. (Exhibit 7)
- Cubitt et al., 1995 *TIBS* "Understanding, improving and using green fluorescent proteins," 20:448-455. (Exhibit 8)
- Chakraborti et al., 1991 Journ. Of Bio. Chem. "Creation of "Super" Glucocorticoid
  Receptors by Point Mutations in the Steroid Binding Domain," 266:22075-22078.
   (Exhibit 9)
- Wang, et al., 1994 *Nature* "Implications for *bcd* mRNA localization from spatial distribution of *exu* protein in *Dropsohila* oogenesis," 369:400-403. (Exhibit 10)
- Chalfie, et al., 1994 *Science* "Green Fluorescent Protein as a Marker for Gene Expression," 263:802-805. (Exhibit 11)
- Htun, et al., 1996 Proc. Natl. Acad. "Visualization of glucocorticoid receptor translocation and intranuclear organization in living cells with a green fluorescent protein chimera,"
   93:4845-4850. (Exhibit 12)

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Filed: November 15, 2001

Page 3

• Carey, et al., 1996 J. Cell Bio. "Evidence Using a Green Fluorescent Protein-Glucocorticoid

Receptor Chimera that the RAN/TC4 GTPase Mediates an Essential Function Independent of

Nuclear Protein Import," 133:985-996. (Exhibit 13)

This statement should be considered because it is submitted before the mailing date of the first

Office Action on the merits. In accordance with 37 C.F.R. §1.98(d), copies of each document or

other information listed on the enclosed Form 1449 are provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102

and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish

that the reference(s) are not "prior art." Moreover, Applicants do not represent that the

references have been thoroughly reviewed or that any relevance of any portion of a reference is

intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of

M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked

as being considered and initialed by the Examiner, to the undersigned with the next official

communication.

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No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-0306.

Respectfully submitted,

Sarah B. Adriano

Registration No. 34,470

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FORM 1449\*

## INFORMATION DISCLOSURE STATEMENTENT IN AN APPLICATION

(Use several sheets if necessary)

Docket Number	Application Number				
30426.1USD1	10//001,486				
Applicant					
Han Htun and Gordon L. Hager					
Filing Date	Group Art Unit				
November 15, 2001	1655				

		U.S. PA	TENT DOCUMENTS	S					
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE			
***************************************		FOREIGN	PATENT DOCUME	NTS					
	DOCUMENT NO.	S			ISLATION				
	1110/05 05463	1 16 1005				YES	NO		
	WO/95,07463 (Exhibit 1)	March 16, 1995	PCT	PCT					
	WO/95,21191	August 10, 1995	PCT			-			
	(Exhibit 2)								
	OTHE	R DOCUMENTS (Includi	ng Author, Title, Dat	e, Pertinent Pag	es, Etc.)		···· • • • • • • • • • • • • • • • • •		
	Ogawa, et al., 1995 Proc. Natl. Acad. Sci. "Localization, trafficking, and temperature-dependence of the Aequorea green fluorescent protein in cultured vertebrate cells," 92:11899-11903 (Exhibit 3)  Macara, et al., 1995 Mole. Bio. Of the Cell "Real-time detection of ligand-induced nuclear transport using a Glucocorticoid Receptor-Green Fluorescent Protein Fusion Construct," 313A (Exhibit 4)  Htun, et al., 1995 Molecular Bio. Of the Cell "GFP-GR: A Model System For Studying Cytoplasm-To-Nuclear Translocation and Nuclear Architecture in Cultured Living Cells," 232A. (Exhibit 5)  Helm, et al., 1995 Nature "Anticipated stimuli across skin," 373:663-664. (Exhibit 6)  Prasher, et al., 1995 TIG "Using GFP to see the light," 11:320-323. (Exhibit 7)  Cubitt et al., 1995 TIBS "Understanding, improving and using green fluorescent proteins," 20:448-455. (Exhibit 8)  Chakraborti et al., 1991 Journ. of Bio. Chem. "Creation of "Super" Glucocorticoid Receptors by Point Mutations in the Steroid Binding Domain," 266:22075-22078. (Exhibit 9)  Wang, et al., 1994 Nature "Implications for bcd mRNA localization from spatial distribution of exu protein in Dropschila oogenesis," 369:400-403. (Exhibit 10)  Chalfie, et al., 1994 Science "Green Fluorescent Protein as a Marker for Gene Expression," 263:802-805. (Exhibit 11)  Htun, et al., 1996 Proc. Natl. Acad. "Visualization of glucocorticoid receptor translocation and intranuclear organization in living cells with a green fluorescent protein chimera," 93:4845-4850. (Exhibit 12)  Carey, et al., 1996 J. Cell Bio. "Evidence Using a Green Fluorescent Protein-Glucocorticoid Receptor Chimera that the RAN/TC4 GTPase Mediates an Essential Function Independent of Nuclear Protein Import," 133:985-996. (Exhibit 13)								

XAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

\*Substitute Disclosure Statement Form (PTO-1449) Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE